

Corporate Risk Register – as at 16 June 2015

Corporate Risks

Risk Description	Resp.	Consequences if Untreated Risk Occurs	Risk Score (Former) / New			Risk Level H/M/L	Current Treatment	RAG	Comments / Further Treatment Proposed
			P	I	Σ				
CRR 007 Lapse or breach of Information Security	Dir. Legal & Gov.	1. Fine of up to £500,000 per breach from the Information Commissioner’s Office (ICO) 2.Reputational damage 3. Reduced opportunities for joint working	(3)	(3)	(9)	M	Various, see sample below: •Specialist information roles •Information Governance Strategy •2 nd rollout of Protecting Information level 1 training package for information security/data protection •Retention & Disposal Schedules •External archive for physical assets •ICT Strategy •EDMS for Policies and procedures. • Reminders to staff not to open suspicious e-mail attachments. • Protective Marking & Harm- testing procedure • Beginning to use Privacy Impact Assessments for projects that may use privacy intrusive processes or technology	G	<ul style="list-style-type: none"> There are a wide range of information security issues and treatments to reduce the likelihood of lapses and breaches. These are identified in a separate information risk register and risk owners identified so that treatments are managed at directorate level. <p>2 September 14 SMB</p> <ul style="list-style-type: none"> The recent increase in the threat of international terrorism, from substantial to severe, means that there is a stronger likelihood of cyber terrorist attacks. Even though the Authority’s anti-virus system helps to prevent malicious activity on its computer network and staff are periodically reminded not to open suspicious email (to help prevent the organisation becoming a victim of cyber espionage or cyber exploitation) 'lone actors' continue to pose a threat to UK organisations (a lone actor is someone who has not received training from terrorist organisations but is inspired and motivated by extremist ideological materials available online and has developed the capability to carry out attacks and select targets completely independently of established terrorist groups). Therefore the risk should remain at 3 x 3.
			(3)	(3)	(9)	M			
			2	3	6	L			

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CRR 007 Lapse or breach of Information Security (continued...)								<p><u>12 November 14 SMB</u></p> <p>Further to September’s update, regarding the increased threat of cyber terrorist attacks: In a recent spate of attacks Shropshire and Wrekin’s Fire Authority’s website was taken over by a group of reputedly pro-Palestinian hackers, based in Morocco, calling themselves “AnonGhost”. The BMKFA website is hosted externally and, although the providers are confident their systems would repel such an attack, an internet security expert at Nottingham Trent University has advised that hacking a site is fairly straightforward. Although in the event of our website being hacked we could block access to it, until the external provider is able to resolve the issue, denial of service attacks such as this are both disruptive and may cause concern to our service users whose data we may hold.</p> <p><u>21 May 15 PMB</u></p> <p>In light of additional control measures including the rollout of further training and updated protective marking and harm testing procedures a reduction in the probability score from 3 to 2 was recommended.</p> <p><u>16 June 2015 SMB</u></p> <p>PMB recommendation to change risk score approved.</p>	

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CRR 014.4 Staff Availability Emerging risks of 1/ industrial action due to pension change or pay dispute; 2/ Staff inability to get to work due to external factors e.g. Pandemic Flu, disruption to fuel supplies etc. 3/ Retirements proceeding more quickly than anticipated.	COO / POD Dir.	Potential detrimental effects on service delivery to the community and our reputation.	(2)	(5)	(10)	(M)	<ul style="list-style-type: none"> • Full business continuity plan in place • Peer review of the business continuity arrangements • Bank System • Flexi-Duty System Pilot • Staff Transfer Procedure 	A	<p><u>17 July 14 PMB</u> Risk to contingency resourcing in the event of further industrial action during the August holiday period due to leave arrangements. Review of risk score and mitigation recommended at 29 July 14 SMB in light of any further developments between the Government and FBU and any indications of further industrial action.</p> <p><u>2 September 14 SMB</u> Probability score for further industrial action raised to 5 (Extremely Likely).</p> <p><u>13 January 15 SMB</u> Probability score reduced to 4 (Very Likely)</p> <p><u>17 February 15 SMB</u> Probability score increased to 5 (Extremely Likely) following announcement of further strike action by the FBU (from 7 am on Wednesday 25 February 2015 until 7am on Thursday 26 February 2015).</p> <p><u>14 April 15 SMB</u> Probability reduced to 4.</p> <p><u>21 May 15 PMB</u> Probability score recommended to reduce to 3 in light of current position in relation to the FBU trade dispute with the Government following enactment of the new Pensions legislation.</p> <p><u>16 June 15 PMB</u> PMB recommendation to reduce risk score approved.</p>
		(5)	(5)	(25)	(H)				
		(3)	(5)	(15)	(H)				
		(4)	(5)	(20)	(H)				
		(3)	(5)	(15)	(H)				
		(5)	(4)	(20)	(H)				
		(4)	(3)	(12)	(M)				
		(5)	(3)	(15)	(H)				
		(4)	(3)	(12)	(M)				
		(5)	(3)	(15)	(H)				
3	3	9	M						

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Fin 14 – Funding and Savings Requirement	Director Finance & Assets	If funding settlements for 2016/17+ follow the current MTFP model, we would have a cumulative savings requirement of £4.2 million by the end of 2019/20. Potential savings have been identified that reduce this amount to £844k. However, there is still a lot of work required to achieve these savings. There is also still uncertainty around the outcome and impact of the upcoming general election in May 2015 and potential volatility within the Business Rates system, both of which may impact upon future funding.	(4)	(4)	(16)	H	<ul style="list-style-type: none"> A funding pressures reserve (approx. £1.2million by 31.03.2015) has been created, but this would be quickly exhausted without a dramatic altering of service provision and/or an increase in alternative funding to offset the reduction in Government funding 	A	<p>18 February 14 SMB: SMB approved the reduction to the probability score associated with this risk from 4 to 3 as recommended by PMB.</p> <p>21 October 14 SMB: Agreed that wording should be amended as current explanation not broad enough. Wording to be amended from 'Council Tax' to an increase in 'alternative funding'.</p> <p>14 April 15 SMB: Agreed that wording should be updated to reflect latest savings requirement and level of funding pressures reserve in line with the MTFP. The description was also updated to reflect potential savings identified and the potential risk of not achieving these.</p> <p>16 June 15 SMB: Updates to risk description to reflect latest savings requirement and level of funding pressures reserve in line with the MTFP</p>
			(3)	(4)	(12)	M			
			3	4	12	M			

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Thames Valley Control Service discontinuity	COO / Head Service Dev.	<ul style="list-style-type: none"> Potential for delay in receiving and handling of calls locally, therefore affecting response times of appliances 	2	5	10	M	<ul style="list-style-type: none"> Legal agreement now in place for North Yorkshire FRS to call handle and mobilise for TVFCS in the event of service discontinuity. Secondary Control at Kidlington now tested and mirrors primary. Exercises planned for 2015 	A	<p><u>21 May 15 PMB</u></p> <p>Risk re-defined to relate to new Thames Valley Control Service</p> <p><u>16 June 15 SMB</u></p> <p>Re-definition of risk approved</p>
Potential impact on of operational staff resources due to aging workforce profile. As of April 2015 the average age of our FF's is 39.	Director of POD	<ul style="list-style-type: none"> An aging workforce and significant retirement and leaver profile would impact on the Service providing an operational response to the community, and may also impact on the capacity and capability of our staff to provide a diverse range of services. Greater potential for increased long term Sickness absence, which would not demonstrate an 	3	3	9	M	<ul style="list-style-type: none"> SMB are reviewing the Whole time workforce and retirement profile in line with the MTFP to consider what options are available for refreshing the workforce and aligning staff with demand. Improved take up of Bank and Ops resourcing pool will assist operational resilience. Review wellbeing support options for different workforce profiles Functional hearing assessments being conducted in house 	A	<ul style="list-style-type: none"> Next SMB planning meeting 8 June 2015 Potential to offer different and more flexible contractual arrangements which may be more attractive to an aging workforce with different needs and priorities Encourage a balance of demographics across the organisation Succession and workforce planning to be developed further. Review opportunities for reasonable adjustments for aging workforce. <p><u>21 May 15 PMB</u></p> <p>An initial score of 3 x 3 = 9 recommended for this newly defined risk.</p>

